



IDPH Assisted Living

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- BUREAU CHIEF LONG TERM CARE
- OFFICE OF HEALTH CARE REGULATION

- OCTOBER 6, 2022

Agenda

Survey Process



Resident Requirements



Medication Administration



Department Updates

TITLE 77: PUBLIC HEALTH
CHAPTER I: DEPARTMENT OF PUBLIC HEALTH
SUBCHAPTER c: LONG-TERM CARE FACILITIES
PART 295 ASSISTED LIVING AND SHARED HOUSING ESTABLISHMENT CODE



SECTIONS 295.100 – 295.9040

Assisted Living and Shared Housing Act



Survey Process

Licensure

Surveys

- Licensure
- Renewal
- CHOW
- Unlicensed

Types of Surveys

- Initial
- Annual
- Complaint
- Incidents and Accidents
- Infection Control
- Life Safety
- Other

Annual Onsite Review



The Department shall conduct an annual unannounced on-site visit at each assisted living and shared housing establishment.



The purpose of the annual on-site review shall be to ensure establishments' compliance with the regulations. 295.1070



The visit shall focus on solving resident issues and concerns, and the quality improvement process implemented by the establishment to address resident issues.

Complaints

Assisted Living Complaints are submitted either in writing, by telephone or by other electronic means to the IDPH Central Complaint Registry.

The Department shall conduct an onsite investigation of all complaints alleging abuse or neglect. 295.1090

Other onsite complaint reviews will be conducted after review of complaint circumstances.

Nursing Care

Medication Administration

Infection Control

Abuse and Neglect

Resident Rights

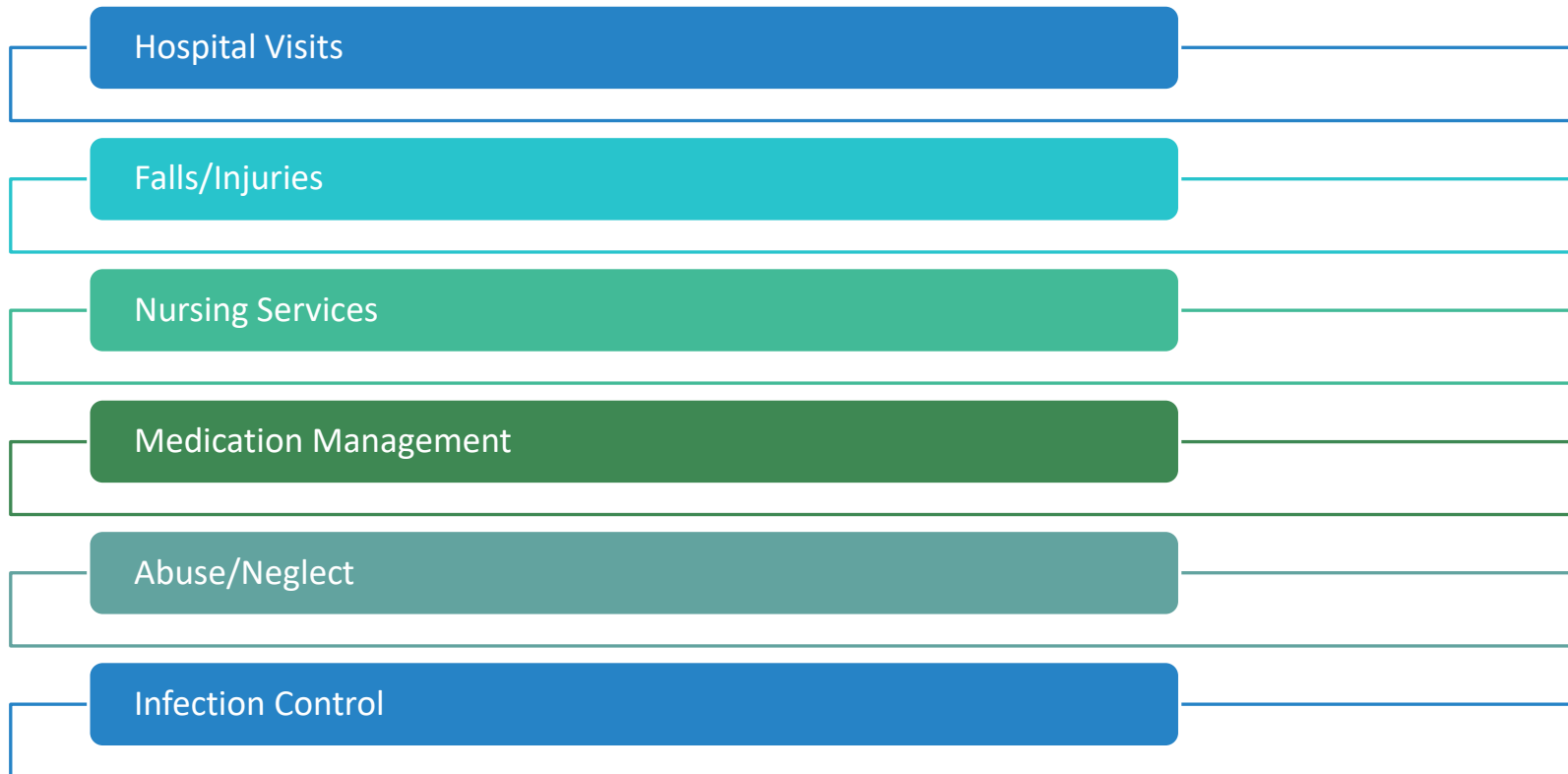
Service Plans

Personnel, Requirements, Qualifications and Training

Residency Requirements/Acuity

Most Cited Violations 2022

Most Reported FRIs 2022



Survey Process

How should we prepare for the Survey process?

- **Planning for the survey**

- *Appoint a responsible party for survey preparedness*
- *Ensure the responsible party has a working knowledge of the Administrative Rules*
- *Develop a survey folder/binder which is reviewed at least quarterly with your team*
- *Keep all previous annual, complaint surveys and Statements of Correction in your folder/binder*
- *Ensure all deficiencies from prior surveys have been addressed in accordance with your SOC*
- *Address major survey areas and all applicable sections of the Assisted Living regulations*
- *Have all required documents for staff/administration in your folder/binder*
- *Review all policies in your facility to ensure they are current, revise policies if required*
- *Prepare and educate staff on the survey process and what to expect during a survey.*
- *Plan a mock survey 2-3 months before the annual survey*
- *Prepare any questions or queries you may have for the surveyors prior to visit*

- **Surveyors cover 3 major areas during an annual survey**

- *Administration*
- *Staff*
- *Residents*

Resident
Rights

Policies and
Procedures

Abuse and
Neglect

Staffing

Medication
Administration

Complaints
and I & As

Quality of Care

Infection
Control/COVID
19 Protocols

Administration



Employee Qualifications



Licenses



Certifications

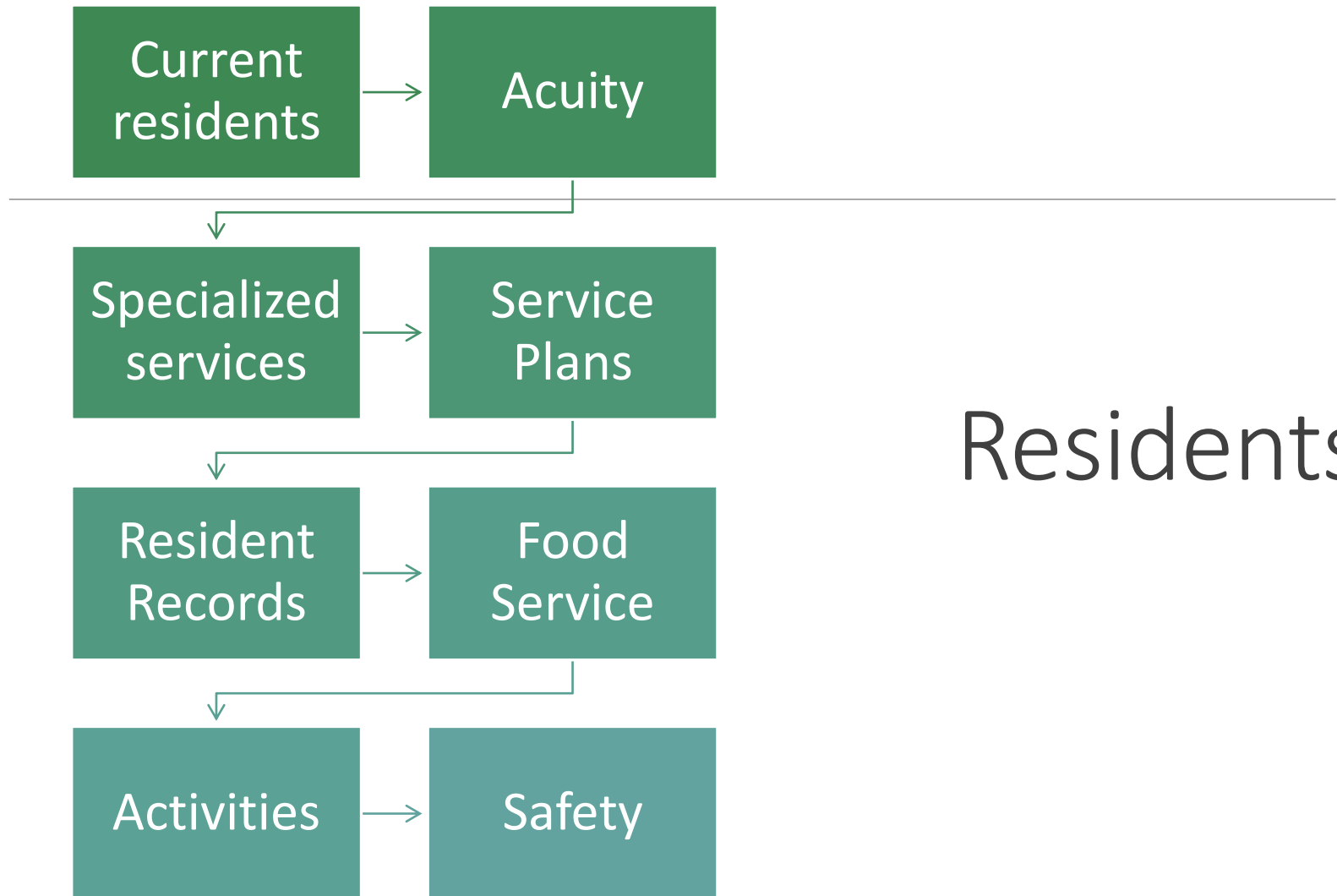


Training



Schedules

Employees



What is the annual survey timeline?

- **Section 295.1070 Annual On-Site Review and Complaint Investigation Procedures** *The Department shall conduct an annual unannounced on-site visit at each assisted living and shared housing establishment to determine compliance with the applicable licensure requirements and standards, as set forth in the Act and this Part.*
- *Additional visits may be conducted without prior notice to the assisted living or shared housing establishment.*

What is the department looking for in a Statement Of Corrections (SOC) and disputes? How long does it take IDPH to review and respond to a facilities SOC/dispute?

295.1070 (h) Annual On-Site Review and Complaint Investigation Procedures

1. Respond to the notice of violation timely
2. Review each violation/deficiency separately
3. Address the actions to be taken to correct the citation
4. Address the time period it will take to complete the actions
5. Address how you will monitor/audit the actions to ensure compliance with the regulation
6. Response to an SOC/SOD should be within 20 days.

Resident Requirements



Part 295 Assisted Living

Section 295.2000

No individual shall be accepted for residency or remain in residence if the establishment cannot provide or secure appropriate services, if the individual requires a level of service or type of service for which the establishment is not licensed or which the establishment does not provide, or if the establishment does not have the staff appropriate in numbers and with appropriate skill to provide such services.

This provision shall not apply to terminally ill residents who receive or would qualify for hospice care and such care is coordinated by a hospice licensed under the Hospice Program Licensing Act or other licensed health care professional employed by a licensed home health agency and the establishment and all parties agree to the continued residency.

This provision shall not apply to individuals who are quadriplegic or paraplegic, or individuals with neuromuscular diseases, such as muscular dystrophy and multiple sclerosis, or other chronic diseases and conditions if the individual is able to communicate his or her needs and does not require assistance with complex medical problems, and the establishment is able to accommodate the individual's needs.

No person shall be admitted or remain in an Assisted Living facility if the following apply:

Resident poses a serious threat to himself or others

Resident is unable to communicate their needs

Resident requires total assistance w/2 or more ADLs or requires assistance of more than one paid caregiver for ADLs

Requires more than minimal assistance moving to a safe area in an emergency

Has a severe mental illness diagnosis

Requires IV therapy, feedings or GT Tube feedings, unless self administered by license professional

Requires placement/replacement of a sterile catheter system

Requires sliding scale insulin, or routine insulin unless self administered or by licensed professional

Has or has developed Stage 3/4 wounds or ulcers

Requires 5 or more skilled nursing visits per week for a period of 3 consecutive weeks



Medication Administration

Part 295 Assisted Living Section 295.5000

An establishment may provide medication reminders, supervision of self-administered medication, and medication administration as an optional service.

Medication reminders:

Reminding residents to take pre-dispensed, self-administered medication;

Observing the resident

Documenting if the resident took the medication.

Supervision of self-administered medication

Reminding residents to take medication;

Confirming that residents have obtained and are taking the dosage as prescribed;

Reading the medication label to residents;

Checking the self-administered medication dosage against the label of the medication;

Opening the medication container for a resident who is physically unable to do so;

Confirming that residents have obtained and are taking the dosage as prescribed; and

Documenting in writing that the resident has taken (or refused to take) the medication.

Medication administration

Refers to a licensed health care professional employed by an Assisted Living facility engaging in administering routine insulin and vitamin B-12 injections, oral medications, topical treatments, eye and ear drops, or nitroglycerin patches.

Non-licensed staff may not administer any medication

A separate medication record is required for each resident receiving medication administration and shall include:

Name of resident

Name of medication, dosage, directions, and route of administration

Date and time medication is scheduled to be administered

Date and time of actual medication administration

Signature or initials of the employee administering medication

Department Updates 2022

1. Assisted Living Email Address

- DPH.LTCAL@illinois.gov
- Questions/Inquiries
- Statement of Correction
- Administrative Leadership Changes
- Statements of Correction

2. Facility Related Incidents

- Smartsheet Fillable Form
- Upload attachments
- Correspondence copy
- <https://app.smartsheet.com/sheets/PpqcxWGjr476R2pfgmq9XfWqwmqP4xf6gw93j981?view=grid>

3. License Renewal Fee Process Update – Information will be mailed with reminder

4. Regional leadership

- North – Ed Pitts Edward.Pitts@illinois.gov
- Central – Kendra Miller Kendra.Miller@illinois.gov
- South – Keo Sabengsy Keo.Sabengsy@illinois.gov



Thank
you