

# Mood and Behavior Policy and Procedure

## Interdisciplinary Team Training



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# Mood and Behavior

## Objectives

- Obtain a basic understanding of the updates in regulations related to the assessment, care planning, coordination of care and tracking of residents mood and behaviors
- Review the Mood and Behavior Policy and Procedure



# Introduction

- The nursing home Requirements of Participation (RoP) are the regulations that set minimum standards for nursing homes.
- The RoP were rewritten in October 2016.
- The changes in regulations go into effect over the next three years, in phases.



# Overview of the Regulation

- **Three Sections of the RoP**
- § 483.20 PASARR
- § 483.40 Behavioral Health Services
- § 483.40(d) Medically Related Social Services



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# Overview of the Regulation

- **§ 483.20 (e) Coordination PASARR**
- A facility must coordinate assessments with the preadmission screening and resident review (PASARR) program under Medicaid in subpart C the maximum extent practicable to avoid duplicative testing and effort.



# Overview of the Regulation

- **§ 483.20 (e) Coordination**
- Coordination includes—(1) Incorporating the recommendations from the PASARR level II determination and the PASARR evaluation report into a resident's assessment, care planning, and transitions of care.



# Overview of the Regulation

- **§ 483.20 (e)Coordination**
- Referring all level II residents and all residents with newly evident or possible serious mental disorder, intellectual disability, or a related condition for level II resident review upon a significant change in status assessment.



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# Overview of the Regulation

- **§ 483.40 Behavioral Health Services**
- Each resident must receive and the facility must provide the necessary behavioral health care and services to attain or maintain the highest practicable physical, mental and psychosocial well-being, in accordance with the comprehensive assessment and plan of care.





# Overview of the Regulation

- **§ 483.40 Behavioral Health Services**
  - Behavioral health encompasses a resident's whole emotional and mental well-being, which includes, but is not limited to, the prevention and treatment of mental and substance use disorders.



# Overview of the Regulation

- **§ 483.40(d) Medically Related Social Services**

The facility must provide medically-related social services to attain or maintain the highest practicable physical, mental and psychosocial well-being of each resident



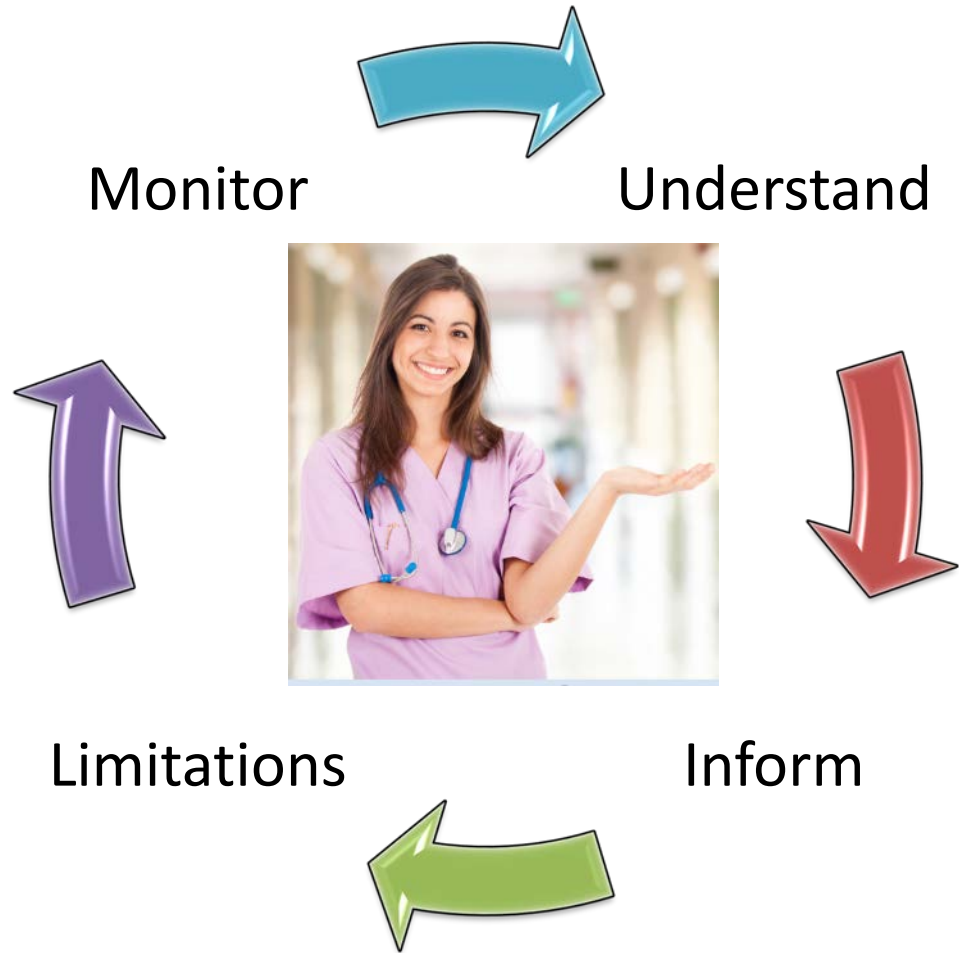
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# Facility Response

- Understand
- Inform
- Limitations
- Monitor



# Mood and Behavior Assessment

First things First!

PASARR Screening



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# Inform– PASARR Overview

**Ok to Admit to NF**

Preadmission  
Level I Screen Completed

No MI/MD, ID, or related  
conditions  
Admit Per Facility Policy

Determination for Level II  
Screen

Review Level II Determination  
Determine Admission to  
Facility

Review need or no need for  
Specialized Services

Coordination of Care

Annual screen or change of  
condition screen



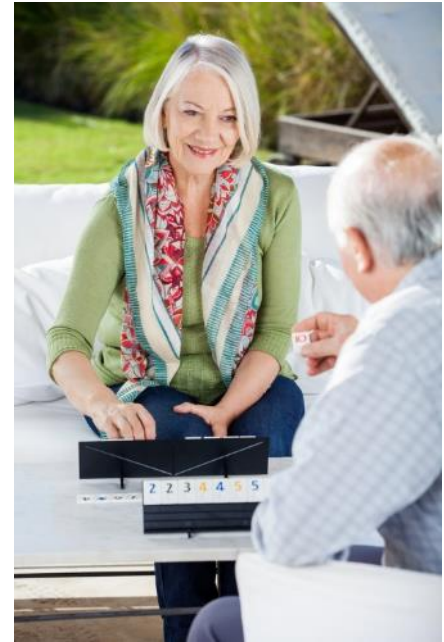
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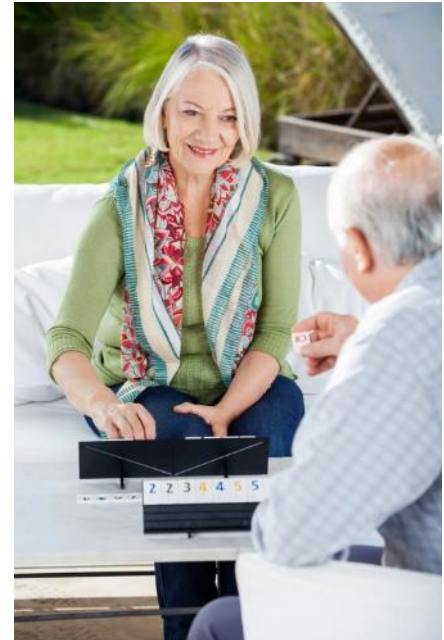
# Understand –Assessment Process for Mood and Behavior

- Behaviors
- Eating Disturbances
- Wandering
- Delusional behaviors or hallucinations
- Socially inappropriate behaviors



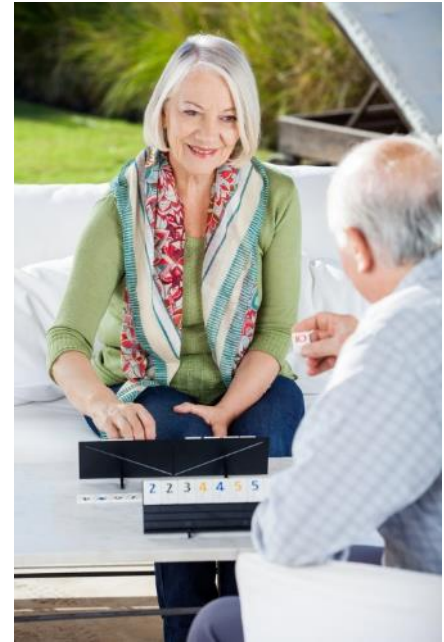
# Understand –Assessment Process for Mood and Behavior

- Sexually inappropriate behaviors
- Loss of interest or withdrawal
- Sleep Cycle
- Inappropriate self care
- Property Destruction
- Self Destructive
- Verbalizations of Distress
- Sad, anxiety, mood



# Understand –Assessment Process for Mood and Behavior

- PASARR
- Social Services Assessment
- Resident Assessment Instrument (RAI) process
  - MDS 3.0
  - CAA's
  - Care Plans



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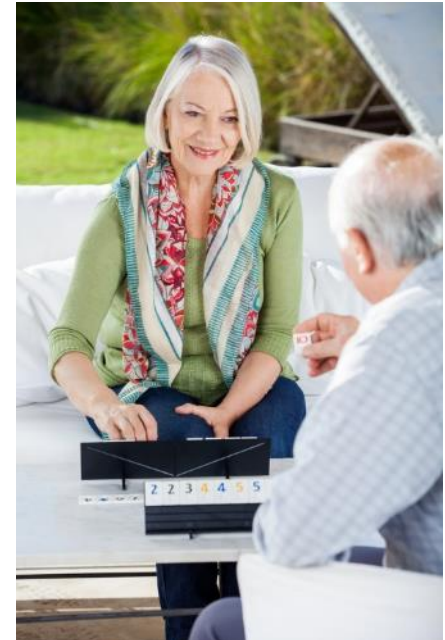
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# Understand – Definitions

- Behavior
- Delusion
- Hallucination
- Mood
- BPSD
- Delirium



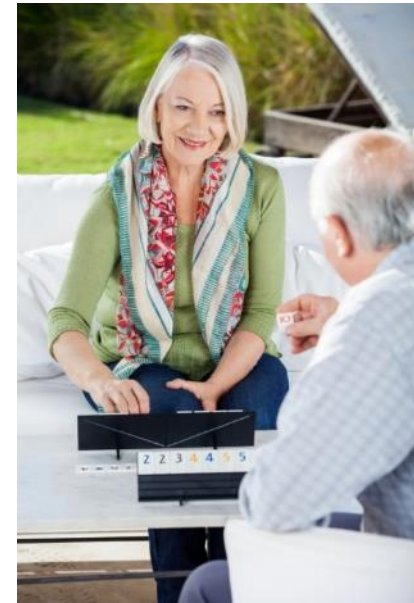
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# Understand – Definitions

- PHQ-9©
- Resident Representative
- Treatment



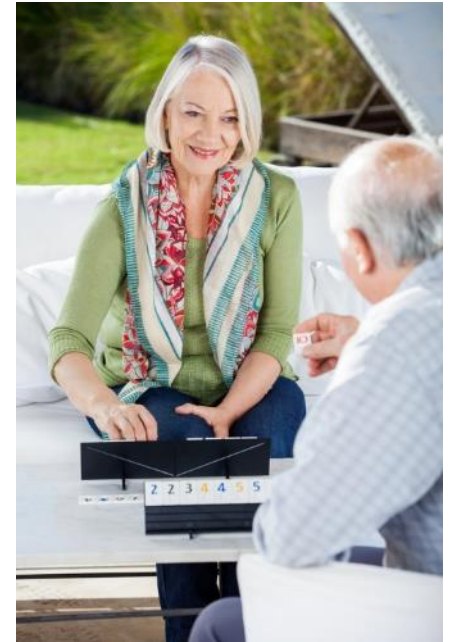
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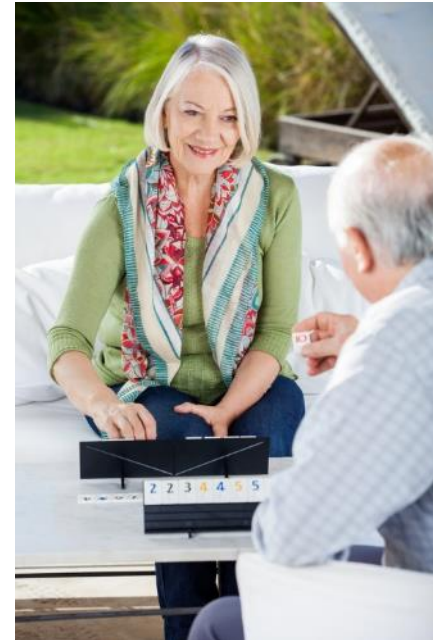
# Understand – Definitions

- Intellectual Disability (ID)
  - MR
- Related Conditions
  - Limitations in 3 or more major life activities



# Understand – Definitions

- Dementia
  - Specific Behavior and mood requirements



# Inform – Communication

- Resident
- Resident Representative
- Front line staff
- Interdisciplinary Team
- Behavior Management Committee
- Behavioral Health Services
- Physician
- Psychologist/Psychiatrist



# Inform-Communication

Communication also includes documentation!



**\*\*\*Documentation is not an option,  
IT IS A REQUIREMENT!**



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# Inform-Communication

Behavior or Mood Tracking (insert facility specific information here )



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# Limitation or Obstacle

- Inability to meet current needs
- Exacerbation of condition
- Psychiatric/Psychology Services
- Resident Refusal
- Change in Status or Condition
- Facility Response
- Mood or behaviors that pose a danger to resident or others





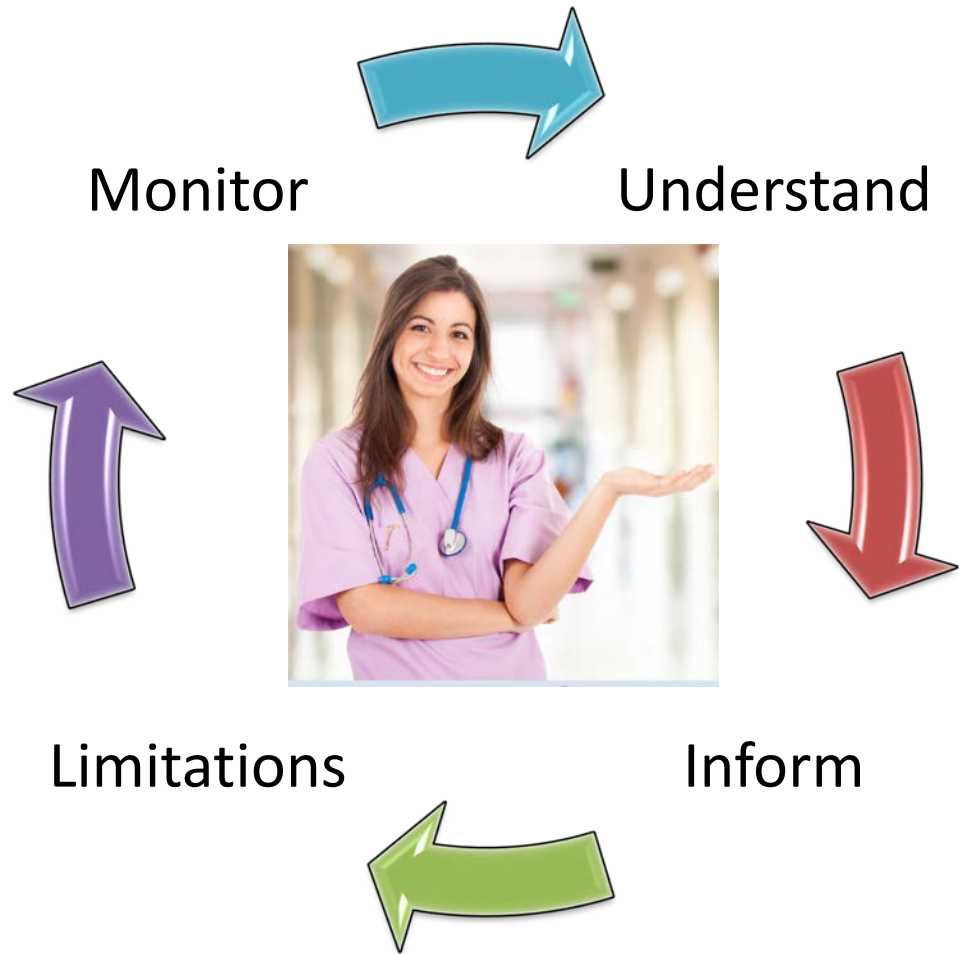
# Monitor

- Admission Process
- Annual PASARR Process
- Social Service Assessment
- Nursing Documentation
- MDS 3.0 RAI Process
- Observations
- Re-evaluation
- QAPI



# Summary

- Understand
- Inform
- Limitations
- Monitor



# Questions?

## Resources:

- Medicare and Medicaid Programs; Reform of Requirements for Long-Term Care Facilities 10/04/16:
- <https://www.federalregister.gov/documents/2016/10/04/2016-23503/medicare-and-medicaid-programs-reform-of-requirements-for-long-term-care-facilities>
- 
- CMS Memo Ref: S&C 17-07-NH: Advance Copy – Revisions to State Operations Manual (SOM), Appendix PP- Revised Regulations and Tags, 11/09/16:
- <https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationGenInfo/Downloads/Survey-and-Cert-Letter-17-07.pdf>
- 
- CMS, MDS 3.0 RAI Manual:
- <https://www.cms.gov/Medicare/Quality-Initiatives-patient-assessment-instruments/NursingHomeQualityInits/MDS30RAIManual.html>



# THANK YOU FOR PARTICIPATING IN THIS EDUCATION SESSION!



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