

Tool- Mood and Behavior Policy and Procedure Checklist

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Tool: Mood and Behavior Policy and Procedure Checklist

483.40 Behavioral Health Services

Each resident must receive and the facility must provide the necessary behavioral health care and services to attain or maintain the highest practicable physical, mental and psychosocial well-being, in accordance with the comprehensive assessment and plan of care. Behavioral health encompasses a resident’s whole emotional and mental well-being, which includes, but is not limited to, the prevention and treatment of mental and substance use disorders.

483.40(d) F250: The facility must provide medically-related social services to attain or maintain the highest practicable physical, mental and psychosocial well-being of each resident.

Purpose and Intent of 483.24(a)(3)

The purpose of the Resident Mood and Behavior policy and procedure is to develop a process to attain and/or maintain the highest practicable physical, mental and psychosocial well-being by utilizing the comprehensive assessment to develop a person centered, individualized plan of care to meet the psychosocial needs of the resident.

To assure that the individual facility has followed all the required steps for the development and implementation of a comprehensive Resident Mood and Behavior policy in accordance to the new Requirements of Participation (RoP), the following checklist captures specific action items for successful completion. The left column represents the actual Requirements of Participation (RoP) language and the right column indicates specific leadership strategies for successful completion and implementation of the revised RoP. When preparing updated policies and procedures, it is recommended to include actual RoP language as applicable. Please note that CMS has not issued its interpretative guidance for the new Requirements of Participation (RoP), therefore additional updates may be necessary once the guidance is released.

Suggested Checklist: Program and Policy and Procedure

Regulation	Recommended Actions
<p>483.40 Behavioral Health Services Each resident must receive and the facility must provide the necessary behavioral health care and services to attain or maintain the highest practicable physical,</p>	<p><input type="checkbox"/> Review, revise and institute a Resident Mood and Behavior Policy and Procedure in accordance with the (RoP). See regulatory requirements as well as template policy and procedure.</p>

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Regulation	Recommended Actions
<p>mental and psychosocial well-being, in accordance with the comprehensive assessment and plan of care. Behavioral health encompasses a resident’s whole emotional and mental well-being, which includes, but is not limited to, the prevention and treatment of mental and substance use disorders.</p>	<ul style="list-style-type: none"> <li data-bbox="755 333 1433 485"><input type="checkbox"/> Update definitions and new terms including Resident Representative, Care Coordination, Person-Centered Care, behavior and mood definitions per the RAI manual <li data-bbox="755 527 1433 600"><input type="checkbox"/> Update Behavior Management Committee policies and related policies as indicated. <li data-bbox="755 642 1433 758"><input type="checkbox"/> Update staff education materials for orientation, annual education, and agency staff orientation, as needed. <li data-bbox="755 800 1433 873"><input type="checkbox"/> Educate all staff on the Resident Mood and Behavior Policy and Procedure. <li data-bbox="755 915 1433 1146"><input type="checkbox"/> Re-educate all IDT team members completing the RAI process on the Resident Mood and Behavior requirements in order to track, trend and obtain individualized assessment information to develop a person-centered care plan <li data-bbox="755 1188 1433 1493"><input type="checkbox"/> Review and incorporate the PASARR policy and procedure to incorporate the recommendations from the PASARR level II determination and evaluation in the residents’ assessment, care plan, and transition of care; and referring all level II residents and all residents with new or evident conditions related to Level II review upon significant change in status assessment. <li data-bbox="755 1535 1433 1734"><input type="checkbox"/> Conduct updated training for social services, nursing leaders, and interdisciplinary team members related to the updated mood and behavior policy and their respective roles and responsibilities related to this policy. <li data-bbox="755 1776 1433 1850"><input type="checkbox"/> Update and review reference material for staff to utilize.

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Regulation	Recommended Actions
	<ul style="list-style-type: none"> <input type="checkbox"/> Identify availability and access of emergency procedures for Resident Mood and Behavior. <input type="checkbox"/> Review Behavior Tracking tools to align with updated definitions. <input type="checkbox"/> Review Behavior Tracking tools to align with updated definitions.
<p>483.40(d) F250: The facility must provide medically-related social services to attain or maintain the highest practicable physical, mental and psychosocial well-being of each resident</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Review Social Services Policies and Procedures to include: updated definitions and new terms including Resident Representative, Care Coordination, Person-Centered Care, behavior and mood definitions per the RAI manual; RAI process responsibilities related to mood and behavior; respective role in the Behavior Management Committee as well as social service documentation related to behavior tracking. <input type="checkbox"/> Review social services job description to include medically related social services roles and responsibilities. <input type="checkbox"/> Review update social services roles and responsibilities related to incorporating the PASARR policy and procedure to incorporate the recommendations from the PASARR level II determination and evaluation in the residents' assessment, care plan, and transition of care; and referring all level II residents and all residents with new or evident conditions related to Level II review upon significant change in status assessment. <input type="checkbox"/> Conduct updated training for social services team members related to the updated mood and behavior policy and their respective roles and responsibilities related to this policy.



The below areas serves as a cross reference for facility leaders to conduct addition policy and procedure review across departments to incorporate the changes set forth in **§ 483.40 Behavioral Health Services and 483.40(d) Medically Related Social Services**. This listing is not all encompassing however should serve as a resource for leaders as they update their internal policies, procedures and operational processes.

Cross Reference

- Resident Rights
- CMS Definitions
- Resident Mood and Behavior Policy and Procedures
- Behavior Management Committee
- Behavior Tracking
- Employee Orientation
- Annual Training Requirements
- Comprehensive Assessment
- Person Centered Care Plan
- Quality Assurance and Performance Improvement
- Staff Training and Education
- Psychosocial Services
- Behavioral Health
- Medically Related Social Services
- Room Change
- Discharge Planning, Care Plan and Transfer Discharge Policies
- PASARR
- Physician Services
- Admission Policy and Procedure
- Medical Director Services
- Pharmacy Services
- Unnecessary Medications
- Facility Wide Resource Assessment (Phase II)
- QAPI (Phase I, II, and III)

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